



Payroll Department
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Stockton Unified School Dist
 2021 403(b) 1st Quarter Employer Report Card

Dear Clients,



We hope 2021 is off to a great start. We are pleased to provide you with the U.S. OMNI & TSACG Compliance Services' Employer Report Card for the 1st quarter, 2021. This report card is a useful tool that allows you to gauge your 403(b) plan and includes important information such as transactions/SRAs processed and investment provider utilization. Also, as you may be aware, U.S. OMNI and TSA Consulting Group have joined firms and are currently undergoing a 2-year integration plan. Our integration plan will identify the best processes and systems to ensure that we continue to provide the top quality services you have come to expect from OMNI. If you have any questions about the integration, the report card or anything 403(b) related, please contact your Client Relations Manager.

Sincerely,

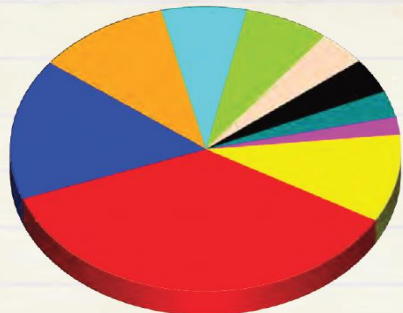
Sarah Breiner

Sarah Breiner, Managing Director & General Counsel

Total Contributing Participants

1,764

Participation by Service Provider



- National Life - 36%
- American Fidelity - 16%
- Midland National - 11%
- Equitable (formerly) - 7%
- Horace Mann Life - 7%
- Voya Financial - 4%
- Invesco Oppenh - 4%
- ALG Retirement - 3%
- Vanguard Fiduc - 2%
- Others - 10%

*** Contributions Remitted to Service Providers This Quarter**

National Life Group	\$507,579.00
American Fidelity	\$233,896.00
Midland National	\$193,572.00
Equitable (formerly)	\$124,335.00
Horace Mann Life	\$91,045.00

Total Plan Assets

\$83,243,283.33

***Total Plan Assets by Reporting Service Provider**

National Life Group	Not Reporting
American Fidelity	\$7,371,980.15
Midland National	Not Reporting
Equitable (formerly)	\$12,253,044.24
Horace Mann Life	\$3,369,456.07

* Plan asset and remittance information is provided for the five most utilized service providers. Asset information originates from industry standard SPARK file transmission. The frequency of SPARK data transmission varies and some providers do not utilize SPARK data. Accordingly, this data is intended for general guidance.

Salary Reduction Agreements Processed

This Quarter	Last 12 Months
200	481

New Accounts Opened

This Quarter	Last 12 Months
60	139

Transactions Processed - Last 12 Months

Distributions	6
Loans	62
Hardships	5
Exchanges/Transfers	101
QDRO's	8
TOTAL	351

Payroll Schedules on Record

Representation of future payroll dates on file with OMNI.



Dedicated Specialists

Erica Wheat
 Client Relations
 Manager
 Ext. 296



Jennifer Gunderson
 Remittance
 Specialist
 Ext. 162

